AUG 1 1 1992

#### Before the

# Federal Communications Commission Commission Communications Commission

Washington, D.C. 20554

In re Applications of

DAVID A. RINGER

ASF BROADCASTING CORPORATION

WILBURN INDUSTRIES, INC.

SHELLEE F. DAVIS

OHIO RADIO ASSOCIATES, INC.

File No. BPH-911231MA

File No. BPH-911231MA

File No. BPH-911231MA

File No. BPH-911231MC

For a Construction Permit for a New FM Station on Channel

280A at Westerville, Ohio

To: The Review Board

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#### OPPOSITION TO MOTION FOR LEAVE TO FILE SUPPLEMENT

David A. Ringer ("Ringer"), by and through counsel, and pursuant to §1.294 of the Commission's Rules (47 C.F.R. §1.294, hereby submits an opposition to the "Motion For Leave To File Supplement" ("Motion") filed by Ohio Radio Associates, Inc., in the above-captioned proceeding. In support whereof, the following is shown:

#### Background

1. On April 11, 1994, Mr. Ringer filed an application for a new FM station at Athens, Ohio (File No. BPH-940411ME). This application is pending as are the mutually-exclusive applications of Lakeside Broadcasting, Inc. (File No. BPH-940411MG), William E. Benns, IV (File No. BPH-940411MC) and Esquire Communications (File No. BPH-

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940411MB).

- 2. In his Athens application, Mr. Ringer estimated that he would need \$352,520 to build and operate the new station for three months without revenue. See Exhibit A. Also in his Athens application, at Exhibit 1, Mr. Ringer disclosed the existence of his pending Westerville, Ohio, application. See Exhibit A.
- 3. As he explains in his attached Declaration (Exhibit B), Mr. Ringer intends to rely on his own personal assets to construct both his Westerville and Athens stations. The total budget for these two stations would be \$782,744.

  Attached to his Declaration, Mr. Ringer includes his Financial Statement of April 30, 1993 (which he has previously made available in this proceeding) and he notes that there have been no substantial changes to his Financial Statement since the time it was drafted. Mr. Ringer states that he has "net liquid assets in excess of the budgets for both stations. My marketable securities (\$663,514) and Certificates of Deposits (\$250,000) alone would supply sufficient financing for both station construction budgets." Exhibit B.
- 4. In his Declaration, Mr. Ringer also acknowledges that he inadvertently did not report the filing of his

Athens, Ohio, application in this proceeding but that he was filing an amendment to correct this oversight. See Exhibit B.

# The Athens Application Does Not Effect Mr. Ringer's Financial Qualifications

5. ORA argues that Mr. Ringer's previous site change amendment filed on May 9, 1994, should not be accepted because there exists questions as to whether Mr. Ringer will be able to construct and operate two separate broadcast facilities. As the attached documents demonstrate, Mr. Ringer has ample personal financial resources to build and operate both stations. While his combined station budgets equal \$782,744, just two of Mr. Ringer's liquid assets total more than \$900,000. He will be able to rely upon these and other assets to build these stations.

#### No Reporting Issue Is Warranted

6. ORA also argues that Mr. Ringer's May 9, 1994 amendment cannot be accepted because "Section 1.65 failure to report and lack of candor issues would be required." Motion at p. 2. To the contrary, no such issues are required in this case. The Commission has stated that, in order for a \$1.65 reporting issue to be added against an applicant, it must be shown that: (a) the unreported interests are of decisional significance; (b) an intent to

<sup>&</sup>lt;sup>1</sup> Mr. Ringer is simultaneously filing an Petition For Leave To Amend and Amendment this day.

conceal is present<sup>2</sup> and (c) a pattern of carelessness or inattentiveness is present. <u>See</u>, <u>Merrimack Valley</u>

<u>Broadcasting</u>, <u>Inc.</u>, 55 RR 2d 23 (1983). None of these elements are present in this case.

7. Mr. Ringer's filing of his Athens application is not a decisionally significant event. The Athens application is not an "ownership interest" under the Commission's definition of that term. Therefore, Mr. Ringer is not presently required to submit a divestiture pledge for this filing. Furthermore, as outlined above, the filing of his Athens application would have no effect on Mr. Ringer's financial qualifications in this proceeding. Therefore, Mr. Ringer's filing of an application for Athens is not decisionally significant in this case since it would have no effect on either Mr. Ringer's basic qualifications or his comparative posture.

WHEREFORE, the above-premises considered, David A.
Ringer respectfully requests that the Motion For Leave To
File Supplement filed by Ohio Radio Associates, Inc., be
DENIED.

<sup>&</sup>lt;sup>2</sup>Mr. Ringer reported his Westerville application in his Athens filing, thus there is no evidence of an intent to conceal.

<sup>&</sup>lt;sup>3</sup> See, Washington Christian Television Outreach, Inc., 59 RR 2d 787, 790 (1985), where the Commission stated that an application that is pending in a comparative proceeding is not cognizable for diversification purposes and no divestiture pledge is required until an interest is acquired.

DAVID A. RINGER

By:

rthur V. Belendiuk

Shaun A. Maher

His Attorneys

SMITHWICK & BELENDIUK, P.C. 1990 M Street, N.W.; Suite 510 Washington, D.C. 20006 (202) 785-2800

August 11, 1994

Westervi/0811.opp

# EXHIBIT A

Approved by OMB 3060-0027 Expires 6/30/95

FCC 301

HRAL	COMMUNICATIONS	COMMISSIO

FOR FCC	_
USE	
ONLY	

# APPLICATION FOR CONSTRUCTION PERMIT FOR COMMERCIAL BROADCAST STATION

FOR COMMISSION USE ONLY			•	
FLE NO.				
Section 1 - GENERAL INFORMATION	ı			•
1 APPLICANT NAME				
David Ringer				
MAILING ADDRESS (Line i) (Maximum 65 chars	acters)			
417 West Sixth Avenue				
MAILING ADDRESS (Line 2) (if required) (Maxi	imum 85 ch	naracters)		
	Т:			
CITY	1	STATE OR COUNTRY (	f foreign address)	ZIP CODE
Columbus		OH	OTHER BOOK INCIDENT	43201
TELEPHONE NUMBER (include area code) (614) 297-1223		CALL LETTERS	OTHER FCC IDENTI	FIER (IF APPLICABLE)
FOR MAILING THIS APPLICATION, SEE INSTRUCT	TIONS FOR	SECTION 1 - GENERA	AL INFORMATION B.	
2. A. Is a fee submitted with this application?				X Yes No
B. If No, indicate reason for fee exemption (	(see 47 C.F.	R. Section 11112) and g	o to Question 8.	-
Governmental Entity	7 Noncom	mercial educational l	icensee	-
_	<del>_</del>			_
C. If Yes, provide the following information	n:		· · · · · · · · · · · · · · · · · · ·	
Enter in Column (A) the correct Fee Type Code for the service you are applying for Fee Type Codes may be found in the				
"Mass Media Services Fee Filing Guide." Column (B) lists the Fee Multiple applicable for this application. Enter in Column (C) the result obtained from multiplying the value of the Fee Type Code in Column (A) by the number listed in Column (B).				
(A) (B)		(C)		
FEE TYPE CODE FEE MULTIPL		FEE DUE FOR FEI		R FCC USE ONLY
(1)			AN (A)	
M T R 0 0 0	1	\$ 2,030.00		
To be used only when you are requesting concurrer	nt actions v	vhich result in a require	ment to list more th	an one Fee Type Code.
(A)(B)		(C)		
(2) 0 0 0	1	\$		
ADD ALL AMOUNTS SHOWN IN COLUMN C, LINES (1)  THROUGH (2) AND ENTER THE TOTAL HERE  WITH THIS APPLICATION  FOR ECC USE ONLY				
THIS AMOUNT SHOULD EQUAL YOUR ENCLOSED				
REMITTANCE.		\$ 2,030.00		
3. This application is for (check one box) AM X FM TV				
(b) Channel No. or Frequency	(b) Princip	01	City	State
240A (95.9 mHz)	Commu			ОН
	<u> </u>			FCC 301

#### SECTION III - FINANCIAL QUALIFICATIONS

NOTE if this application is for a change in an operating facility do not fill out this section.

1. The applicant certifies that sufficient net liquid assets are on hand or that sufficient funds are available from committed sources to construct and operate the requested facilities for three months without revenue.

X Yes No

2. State the total funds you estimate are necessary to construct and operate the requested facility for three months without revenue.

**\$** 352,520

6. Identify each source of funds, including the name, address, and telephone number of the source (and a contact person if the source is an entity), the relationship (if any) of the source to the applicant, and the amount of funds to be supplied by each source.

Source of Funds (Name and Address)			Amount	
David Ringer 417 West Sixth Avenue Columbus, OH 43201	(614) 297-1223	Applicant	360,000	
			-	
•				

## EXHIBIT 1

David A. Ringer is an applicant for a construction permit for a New FM Station on Channel 280A at Westerville, Ohio (File No. BPH-911230MA). David A. Ringer's application was denied. See, <u>David A. Ringer</u>, 8 FCC Rcd 8156 (ALJ 1993). Mr. Ringer's appeal of the denial of his application is pending before the Review Board.

# EXHIBIT B

### **DECLARATION**

David A. Ringer, under penalty of perjury, declares as follows:

- 1. I am an individual applicant for a new FM station at Westerville, Ohio.
- 2. On April 11, 1994, I filed an application for a new FM Station at Athens, Ohio (File No. BPH-940411ME). The application is mutually exclusive with other applications and is currently pending before the FCC.
- 3. Should I receive a construction permit for both the Westerville and Athens facilities, I have sufficient net liquid assets to build and operate both stations for three months without revenue. My budget for Westerville is presently \$430,224. My budget for Athens is presently \$352,520. I intend to rely on my own personal assets to fund the \$782,744 that I would need for these construction projects.
- 4. Attached is my Financial Statement dated April 30, 1993, which I have previously made available in the Westerville proceeding. See Attachment A. There have been no substantial changes to this Financial Statement since April, 1993. As this Statement shows, I have net liquid assets in excess of the budgets for both stations. My marketable securities (\$663,514) and Certificates of Deposits (\$250,000) alone would supply sufficient financing for both station construction budgets.
- 5. While I disclosed the existence of my Westerville filing in my Athens, Ohio, application, the Athens, Ohio application was inadvertently not reported in the Westerville proceeding. I am submitting an amendment to my Westerville, Ohio, application to correct this oversight.

David A. Ringer

Individual

aug 10, 1994

DAVID A. RINGER
FINANCIAL STATEMENT
APRIL 30, 1993

# Reeb, MacLaren & Steedman, Inc.

CERTIFIED PUBLIC ACCOUNTANTS / MEMBERS: AICPA.OSCPA.PCPS

DAVID A. RINGER COLUMBUS, OHIO

WE HAVE COMPILED THE ACCOMPANYING STATEMENT OF FINANCIAL CONDITION OF DAVID A. RINGER AS OF APRIL 30, 1993 IN ACCORDANCE WITH STANDARDS ESTABLISHED BY THE AMERICAN INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS.

A COMPILATION IS LIMITED TO PRESENTING IN THE FORM OF FINANCIAL STATEMENTS INFORMATION THAT IS THE REPRESENTATION OF THE INDIVIDUALS WHOSE FINANCIAL STATEMENTS ARE PRESENTED. WE HAVE NOT AUDITED OR REVIEWED THE ACCOMPANYING FINANCIAL STATEMENTS AND, ACCORDINGLY, DO NOT EXPRESS AN OPINION OR ANY OTHER FORM OF ASSURANCE ON THEM.

Red, Madan & Steelunt.

JUNE 3, 1993

# DAVID A. RINGER STATEMENT OF FINANCIAL CONDITION APRIL 30,1993

## **ASSETS**

Cash	\$	4,933
Investments Certificates of Deposit - Note B Marketable Securities - Note C Partnerships - Note D Y Bridge Broadcasting, Inc Note E		250,000 663,514 446,000 0
Notes Receivable - Note F Non-Compete Agreement Receivable - Note G Real Estate - Note H		683,362 150,000 380,000
Automobiles		24,000
Individual Retirement Accounts - Note I		52,000
Personal Effects		40,000
	;	2,693,809
LIABILITIES		
Advest Margin Account Balance Income Taxes - Current Year Balance		31,383 0
Mortgages Payable - Note H		175,000
		206,383
Estimated Income Taxes, on the differences between the estimated current values of assets and the estimated current amount of liabilities		
and their tax bases - Note L		278,000
Net Worth	<u>\$</u>	2,209,426

See Accompanying Notes and Accountants' Report.

# DAVID A. RINGER NOTES TO FINANCIAL STATEMENT APRIL 30, 1993

### Note A - Basis of Accounting

The accompanying financial statement include the assets and liabilities of David A. Ringer. Assets are stated at their estimated current values and liabilities at their estimated current amounts.

## Note B - Certificates of Deposit

#### Certificates of deposit consist of the following:

Bank One, 7.42%, Due July 1, 1993	50,000
Citizens Bank of Ashville, 7.82%, Due October 1, 1992	100 000
Society Bank, 7.23%, Due September 1, 1996	100,000 50,000
Society Bank, 7.23%, Due September 1, 1996	50,000
Total Certificates of Deposit	\$ 250.000

#### Note C - Marketable Securities

The estimated current values of marketable securities are their quoted closing prices. Marketable securities consist of the following:

	4/30/93 Current Value
<u>Stocks</u>	
1,500 Shares Novell, Inc. 200 Shares Philip Morris COS Warrants 100 Shares Glaxo 500 Shares Third Financial Corp.	\$ 45,000 9,425 1,838 8,000
Total Stocks	64,263

# Note C - Marketable Securities (continued)

# Bonds

10,307 Shares Franklin Ohio Tax-Free Insured	
Bond Fund	\$ 126,667
40,000 Franklin County Ohio Convention Center	•
Facility Revenue Bond (7%, due December 1, 2019)	45,962
85,000 Franklin County Ohio Riverside Hospital	
Revenue Bond (7.25%, due May 15, 2020)	93,805
10,000 Franklin County Ohio Childrens' Hospital	
Revenue Bond (6.40%, due November 1, 2006)	10,483
15,000 Ohio State University General Receipts	
Revenue Bond (5.875%, due December 1, 2012)	15,283
75,000 Ohio State University General Receipts	
Revenue Bond (7.15%, due December 1, 2009)	85,652
50,000 Allen County Ohio Office Building AMBAC	
Insured Bond (7.0%, due December 1, 2015)	54,967
10,000 Denver Colorado City and County Airport	44 005
Bond (8.0%, due November 15, 2025)	10,835
95,000 Ohio Housing Finance Agency Mortgage Bond	05 460
(7.65%, due March 1, 2029)	95,468
24 Units Municipal Securities Investment Trust 37th Series Discount Fund	11 647
6 Units National Municipal Investment Trust	11,647
Special 8 Discount Series	1,175
10 Units National Municipal Investment Trust	1,175
Special 7 Discount Series	2,333
10 Units National Municipal Investment Trust	2,333
Special 9 Discount Series	3,681
opeorar y bibodane deries	3,001
Total Bonds	557,958
Mutual Funds	
228.579 Fidelity Growth and Income Fund	4,885
87.653 Shares Fidelity Europe Fund	1,433
3,267.20 Shares Fidelity Ohio Municipal	<b>-,</b>
Money Market Portfolio	3,267
•	
Total Mutual Funds	9,585
Other Securities	
2,854 American Utilities Income Trust	31,708
-1	
Total Marketable Securities	\$ 663.514

#### Note D - Partnership Investments

The estimated current values of partnership interests is determined using the approximate fair market values at December 31, 1992. The partnership investments consist of the following:

Three D Development - 33% interest, real estate (land) sales Damon's of Chillicothe, 5% interest,	\$ 340,000
restaurant Chillicothe Car Wash, 10% interest, car wash Five Star Development, 20% interest, real	28,000 12,000
estate (land) sale	66,000
Total	\$ 446.000

## Note E - Y Bridge Broadcasting, Inc.

David A. Ringer owns 25% of Y Bridge Broadcasting, Inc., a radio station. The Company has operated for approximately eighteen months. At this time, there is no significant equity accumulation in the company.

## Note F - Notes Receivable

## Notes Receivable consist of the following:

Y Bridge Broadcasting, Inc., no stated	A 50	075
interest, due on demand Three D Development, interest at 10.2% due	\$ 70	,275
monthly, secured by real estate	520	,903
Three D Development, interest at 9%, payment of \$750.00 per month	68	, 184
Jim Delong, interest at 8%, due monthly, unsecured	5	5,000
Rick Sabine, interest at 6%, due monthly, unsecured	19	,000
Total	\$ 683	3.362

## Note G - Non-Compete Agreement

David A. Ringer has a contract with AD, Inc. in Milwaukee, Wisconsin for \$150,000 payable to him in \$50,000 increments over the next three years.

#### Note H - Real Estate

Real estate owned at April 30, 1993 consists of the following:

	Estimated <u>Market Value</u>			
11 Acres of land, Ross County, Ohio	\$	35,000	\$	0
Residence - Condominium, Columbus, Ohio		55,000	2	5,000
Residence - House, Chillicothe, Ohio		90,000		0
Residence - House, Columbus, Ohio Construction in process - Residence		120,000	10	00,000
Ross County, Ohio		80,000		0,000
Totals	<u>\$</u>	380.000	\$ 17	5.000

## Note I - Individual Retirement Accounts

IRA Accounts consist of the following:

	Approximate <u>Value</u>
American CAP PACE Fund Citizens Bank of Chillicothe	\$ 47,000 5,000
Total	\$ 52.000

#### Note J - Contingent Liabilities

David A. Ringer, along with the three additional shareholders, has guaranteed the payment of loans of Y Bridge Broadcasting, Inc. The loans are secured by substantially all assets of the company. The loan balances at September 30, 1992 totaled \$429,440.

#### Note L - Estimated Income Taxes

The estimated current amounts of liabilities at April 30, 1993 equaled their tax bases. Estimated income taxes have been provided on the excess of the estimated current values of assets over their tax bases as if the estimated current values of the assets had been realized on the statement date, using applicable tax laws and regulations. The provision will probably differ from the amounts of income taxes that eventually might be paid because those amounts are determined by the timing and the method of disposal or realization and the tax laws and regulations in effect at the time of disposal or realization.

#### CERTIFICATE OF SERVICE

I, Dale Harris, a secretary in the law firm of Smithwick & Belendiuk, P.C., certify that on this 11th day of August 11, 1994, copies of the foregoing were mailed via first class mail, postage pre-paid, to the following:

The Review Board (\*)
Federal Communications Commission
2000 L Street, N.W.
Washington, DC 20554

James Shook, Esq. (\*)
Hearing Branch
Federal Communications Commission
2025 M Street, N.W.
Room 7212
Washington, DC 20554

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(\*): By Hand Delivery

Dale Harris